

REGULATION 44 REPORT FOR THE MONTH OF XXXXX 20XX

ANNOUNCED / UNANNOUNCED VISIT delete as appropriate

Name of Home	
Unique Reference Number (Ofsted)	
Current Registration Certificate displayed?	
Type of registration:	
Category of registration:	
Number of registered places:	
Name of registered manager:	
Name of responsible individual:	
Name of Regulation 44 Visitor:	

Date of visit with start and end times:	
Name and designation of the person in charge at the time of visit:	
Names and designation of all other staff on duty:	
Initials of children / young people present at any time during the visit:	
Total number of children living at the home:	
Number of and initials of children who have left the home since the last visit:	
Number of and initials of children who have moved into the home since the last visit:	

Date of last Visit:		
Progress of actions in response to recommendations from the last Regulation 44 Visit:		
Action Point	Met?	Comments including analysis of impact on outcomes for children and young people.
If no progress has been made against one or more recommendations previously made including recommendations made from previous visits, the Regulation 44 Visitor should discuss these directly with the registered provider / responsible individual to discuss and agree a resolution, and record within the actions on the final page of this report.		

The following are core areas which should, where possible, be addressed at each Visit.

******Please note that the italic text within the text boxes are prompts designed to support and establish the structure for appropriate reporting towards a consistent standard. The italic prompts can be over-written for each report.******

******Note the ways the Quality Standards interlink and more than one is involved with each section.**

1. Discussion with (subject to their consent) and/or observations of children and young people:

Where appropriate, please identify using initials only, the young people spoken to on this Visit and an overview of their views, wishes and feelings as pertains to the home and the care they receive. It is not normally appropriate to attribute specific contributions to specific individuals. The Tool Kit contains guidance for good practice communicating with children and young people and evidence based questions and lines for enquiry. If by exception, you did not meet with or observe children and young people, please explain the reasons for this and what arrangements will be made to ensure this is facilitated at the next visit. In such circumstances please give an overview of your understanding of the children's lived experience at the home based on other evidence from the Visit.

Discussion with parents / relatives (as appropriate); staff and professionals (as appropriate) visiting the home. (Regulation 5: Engaging with the wider system to ensure children's needs are met)

Where appropriate, please identify using initials only the staff spoken to during the visit; the initials of any YP parents / relatives spoken to and the initials and Job title of any visiting professional spoken to. It is not normally appropriate to attribute specific contributions to specific individuals.

2. Quality of the Home Premises and Grounds including communal corridors and stair ways; Living areas, dining and recreational rooms; kitchens; laundry; bathrooms; shower rooms and cloakrooms; gardens and grounds; young people's bedrooms (Quality and purpose of care standard (regulation 6); the children's views, wishes and feelings standard (regulation 7); the health and well-being standard (regulation 10); the positive relationships standard (regulation 11); the protection of children standard (regulation 12); the leadership and management standard (regulation 13); the care planning standard regulation 14))

Please consider the how welcoming the environment is for a child; the extent to which the environment is safe and supportive including any use of surveillance equipment; the provision of and suitability of personal space for children; the impact of the location and design of the home. Checks may include the décor; cleanliness; maintenance; suitability of furniture; any specialist aids and adaptations; equipment including resources for learning, leisure and play; telephone and internet access; health and safety within the environment; arrangements for privacy; lighting; security; heating and general sense of 'homeliness' and personalisation of bedrooms and communal living areas; provision / arrangements for the safekeeping of each child / young person's personal belongings; toilet, bath and shower facilities. Wherever possible children and young people should be involved in the tour of the premises so they can talk to you about how they feel about their home environment and their perspective of its suitability and impact on them.

3. Complaints (including allegations or suspicions of abuse against staff) (Regulation / Standards 7; 13.g; 39 & 40 (4.d.) (Quality and purpose of care standard (regulation 6); the children's views, wishes and feelings standard (regulation 7); the leadership and management standard (regulation 13); Regulation 39 and 40 (4d))

Number of complaints received / concluded since the last Regulation 44 Visit:	
Please provide brief details of any complaints which remain outstanding from the last Regulation 44 Visit including reasons why they are not yet resolved.	
Have they been addressed in accordance with the Home's policies and procedures?	<i>Please comment on the quality of the handling of the complaints process and the quality of communication with all parties involved / affected. Has the process in any way positively strengthened in any way relationships with children and young people?</i>
Number of allegations or suspicions of abuse against staff made / concluded since the last Visit:	
Have they been addressed in accordance with the Home's policies and procedures including referral to LADO if applicable?	<i>Please comment on the quality of the handling of the allegations process and the quality of communication with all parties involved / affected.</i>
Complaints - outcomes:	
<i>Please consider are the outcomes reasonable? Have the young person / complainant been informed of the outcome and are they satisfied? If not, have they been advised what further steps they can take to have their complaint heard at the next stage? Has anything improved as a result of any learning and service development actions put in place? Is it possible to judge the impact of any learning for improving outcomes for children and young people?</i>	
4. Positive parenting, behaviour management and discipline. (The quality and purpose of care standard (regulation 6); the children's views, wishes and feelings standard (regulation 7); the positive relationships standard (regulation 11); the protection of children standard (regulation 12); the leadership and management standard (regulation 13); the care planning standard regulation 14); Regulation 19 Behaviour Management and Discipline; Regulation 20 Restraint and Deprivation of Liberty))	
Number of Rewards since the last Regulation 44 Visit:	
Number of Sanctions since the last Regulation 44 Visit:	
Analysis: <i>Please consider and comment on the home's approach to positive parenting, behaviour support and management, and its effectiveness in encouraging positive behaviour and improving outcomes for children? As part of this consider the use of rewards and sanctions in the home, i.e. are they meaningful, fair and proportionate? Overall, is there evidence of sustained and on-going improvements for children / young people behaviour and self-management and choices? What is the trend for individual children and the home as a whole and what is the likely explanation for this? Is there evidence of management oversight of the use of rewards and sanctions? Outline any concerns you may have and any further management actions recommended? It may be useful to record the number of rewards and sanctions each month as useful evidence of changing trends as a line of enquiry.</i>	

Key sources of evidence: Feedback from children and young people; staff; parents; other professionals; record of rewards and sanctions; individual children's risk assessments and plans; daily log book; record of staff meetings and young people's meetings.

4.1. Records of restraint and deprivation of liberty

Number of incidents per child / young person:

Analysis:

Please consider and comment on the completeness and quality of recording; the proportionate and appropriate use of restraint; has each intervention been carried out by staff trained in the approved techniques? Is there evidence of de-escalation to try to prevent restraint? Is it evident that the restraint was used only for the purpose of preventing injury to any person; serious damage to the property of any person or for a child in a secure children's home from absconding from the home? Has each incident been reviewed by the manager? Is there evidence of management monitoring to establish and respond to any emerging trend for individual children and the home as a whole? Is it possible to judge the impact of any learning for improving outcomes for children / young people? If not please comment on any trends noted and possible explanations. Outline any concerns you may have and any further management actions recommended?

Key sources of evidence: Feedback from children and young people; staff; parents and other professionals; record of restraints / deprivation of liberty; individual children's risk assessments and plans including restriction of liberty assessment as appropriate; and daily log book; record of staff meetings and young people's meetings; staff training; complaints records and notifications; statement of purpose and children's guide.

4.2. Children missing from the home

(The protection of children standard (regulation 12); the leadership and management standard (regulation 13); the care planning standard regulation 14)).

Number of incidents per child / young person:

Analysis: Please consider and comment on the completeness of records. Have absences been reported to the placing and host authorities in accordance with the homes' and local interagency "Runaway and Missing From Home and Care" protocols? Consider also what is the trend for individual children and the home as a whole? Are there any apparent underlying factors / explanations for this? Do absences give rise to concern for wider safeguarding issues such as risk of exploitation? Is there evidence of robust actions being taken, including effective joint working with other agencies, to understand the reasons and address these / reduce the incidents of children going missing? How has the child's placement plan and safekeeping strategy to keep them safe been adapted / improved? For children who run away persistently or engage in other risky behaviours, has there been a risk management meeting to develop a strategy for managing the risks, including the placing authority, host authority and the police? Overall, has anything improved in the home's practices as a result of any learning, and is it possible to judge the impact of any learning for improving outcomes for children / young people? Outline any concerns you may have and any further management actions recommended?

Key sources of evidence: Feedback from children and young people; staff; parents and other professionals; missing child records including record of return interviews; individual children's risk assessments, plans and strategy including restriction of liberty assessment as appropriate; records of multi-agency safeguarding meetings; key work sessions; daily log book; record of staff meetings; notifications to placing authority and where appropriate the child's parents.

6 Notifiable Events (Regulation 39)

Analysis

Please consider and comment on the arrangements for the notification of significant events. Consider also is there any evidence of any incidents in the reporting period, which should have been notified have not been notified? Is the home taking reasonable steps to reduce the risk of re-

occurrence of reportable incidents that have occurred? Including effective working relationships with other agencies to support children and young people. Outline any concerns you may have and any further management actions recommended?

Note – in order to satisfy oneself that the home has dealt with these matters appropriately and is providing stable, safe and secure care, it may be necessary to cross reference with other records.

For example, records regarding missing from care events might be cross referenced with records of significant events; key work sessions; the child's care plan and associated risk assessment / risk management or behaviour management plans.



For example, reports by children / young people of incidents of bullying might be cross referenced with the home's bullying procedures, records of incidents as recorded on individual child records and discussed in key worker sessions; young people's meetings and staff meetings.

The above are considered to be the core areas of review which should be addressed, where possible, as a minimum on every visit. In addition, any of the following thematic areas could be assessed at a visit or on a rotational basis each month. This could provide a rich body of evidence over a 6 month period to inform the provider's Regulation 45 monitoring and quality assurance of the quality of care provided; the feedback and opinions of children about the children's home, its facilities and the quality of care they receive in it; and any actions that the registered person considers necessary in order to improve or maintain the quality of care provided. Professional judgement by the Provider and the Independent Visitor should be exercised in determining the scope of the Regulation 44 Visit.

If the provider would like an independent review of key areas to be undertaken by the Independent Visitor from time to time, the following structure might be considered for a schedule of additional 'thematic' reviews.

At the request of the provider, and subject to the expressed agreement of the child's placing authority, the Regulation 44 visit may also include a review of a child's case record to consider the extent and effectiveness of compliance with the child's Care and Placement Plans. The table below provides a framework for undertaking and recording such additional quality assurance activities.

Thematic Issue	Checked? Y/N	Comments
Education, Enjoyment and Achieving – (The education standard (regulation 8); the enjoyment and achievement standard (regulation 9))		
1. Presence of up to date Children's Education Plans		<i>Consider how staff are helping children to achieve their education /training targets and support their learning and development? Do staff understand the barriers to learning a child may face and take appropriate action to help the child to overcome such barriers? Do staff maintain contact with each child's education / training provider? Do staff help children who are excluded / not attending school to access education and training support and return to school as quickly as possible?</i>
2. Opportunities for children to learn informally.		<i>Consider arrangements for children to have access to appropriate equipment, facilities and resources to support their learning in and outside of formal education?</i>
3. Young People over compulsory school age access further education, training and / or employment.		<i>For any young people aged 16+ consider and comment on the arrangements for their engagement in further education, employment or training?</i>
4. Children take part in a variety of activities that develop the child's interests and hobbies; expand their interests and preferences and make a positive contribution to the home and wider community		<i>Are children and young people encouraged and supported to pursue at least on area of interest on a regular basis for example through membership of a club or having the resources to pursue at least one hobby. Outline any concerns you may have and any further management actions recommended?</i>
Staffing – (The Leadership and Management standard regulation 13: Regulations 31; 32 & 44: and Schedule 1 & 2)		
1. Staff recruitment and training records and conduct of required checks for new workers in the home.		<i>Please consider and comment on the arrangements for selection and vetting of staff, induction and training undertaken, having regard for the Home's statement of purpose. Outline any concerns you may have and any further management actions recommended?</i>

2. Duty rosters of persons working at the home, and the rosters actually worked.		<i>Please consider and comment on the arrangements for ensuring there is sufficient staff deployed to meet the needs of children / young people. Outline any concerns you may have and any further management actions recommended?</i>
3. Records of appraisals (including supervision) of employees.		<i>Please consider and comment on the arrangements for supporting and supervising staff. Outline any concerns you may have and any further management actions recommended?</i>
4. Minutes of staff meetings.		<i>Please consider and comment on the levels of participation by staff at team meetings; the matters discussed and the extent to which the agenda supports good practice with a focus on the individual needs of the children living at the home. Consider also whether the extent to which service development plans and issues arising from the Regulation 44 Visits are addressed? Outline any concerns you may have and any further management actions recommended?</i>
Health and Safety – (The quality and purpose of care standard (regulation 6); the health and well-being standard (regulation 10); the protection of children standard (regulation 12))		
5. All accidents and injuries sustained in the home or by children accommodated there.		<i>Please consider and comment on the arrangements for promoting good health and well-being. Outline any concerns you may have and any further management actions recommended?</i>
6. Risk assessments for health and safety purposes and subsequent action taken.		<i>Please consider and comment on the arrangements for providing a suitable physical environment including the suitability of the location. Outline any concerns you may have and any further management actions recommended?</i>
7. Fire drills and tests of alarms and of fire equipment.		<i>Please consider and comment on the arrangements for providing a safe physical environment. Outline any concerns you may have and any further management actions recommended?</i>
8. Visitors to the home and to children in the home.		<i>Please consider and comment on the arrangements for safeguarding children. Outline any concerns you may have and any further management actions recommended?</i>
Child specific		
9. For each child, compliance with the placing authority's plan for the care of the child and the placement plan.		<i>Please refer to and complete the Child case file checklist below.</i>
10. The deposit and issue of money and other valuables handed in for safekeeping.		<i>Please consider and comment on the robustness of record keeping and arrangements for managing the safekeeping of money and valuables. Outline any concerns you may have and any further management actions recommended?</i>

11. Any illnesses of children accommodated in the home		<i>Please consider and comment on the arrangements for promoting the good health and well-being of children. Outline any concerns you may have and any further management actions recommended?</i>
12. Daily menus.		<i>Please consider the suitability of the meals provided and the extent to which children are able to exercise choice in the food they eat and be involved in food preparation. Consider the arrangements for planning meals, shopping, whether food stocks correspond with the menu and children's dietary needs and preferences. Consider and comment also on how any special dietary needs arising from disability or specific health needs are met. Outline any concerns you may have and any further management actions recommended?</i>
13. Medicines, medical treatment and first aid administered to any child accommodated in the home.		<i>Please consider and comment on the arrangements for the administration of medicines, medical treatment and first aid. Such might include review of records and consider whether medication has been administered in accordance with the prescription, and doses missed or refused are recorded and followed up appropriately. Medicines including any controlled drugs are stored and administered in accordance with the home's policies and procedures and records evidence that unused medication is returned to a pharmacist for disposal including a receipt for the returns. Consider the quality of record keeping for any first aid or medical treatment administered to the child young person. Consider and confirm that staff are trained in first aid and medication administration. Outline any concerns you may have and any further management actions recommended?</i>

Item 9 - Child Case file check list:

Here again, the Regulation 44 Visitor should be mindful of Maslow's hierarchy of needs as well as the Quality Standards set out in the new Regulations. In considering the quality of care planning and children's records, there should be evidence of children's strengths, increasing self-esteem, confidence, resilience, self-management and resourcefulness and clear arrangements for keeping children and young people safe.

Item:	Present Yes/No/Not Applicable (N/A)	Comments
<ul style="list-style-type: none"> • LA Care Plan; • LA Placement Plan 		<i>Please consider and comment on the arrangements for the child/ young person's placement plan; care</i>

<ul style="list-style-type: none"> • The Home’s Care Plan • Pathway Plan (as applicable) 		<p><i>plan and pathway plan and the quality of overall case recording in accordance with Regulations 36; 37 & 38. Does the child have an up-to-date plan which is based on an up to date assessment of their need and is it evident that the views, wishes and feelings of the child / young person have been taken into account? Does the plan take proper account of any disability the child has, their age, ethnicity, faith, gender, gender identity, language, religious belief and sexual orientation? Has the child attended / been consulted over or contributed to their most recent statutory review or any other reviews of their plans? Does the Home’s Plan evidence how it will deliver the overall placement plan on a day to day basis? Do the Plans set aspirational and achievable outcomes and time-scales, and are these properly resourced at the home? Outline any concerns you may have and any further management actions recommended?</i></p>
<ul style="list-style-type: none"> • Individual risk assessments and management plan 		<p><i>Please consider is there in place robust and effective risk assessments and management strategies in place to address any child specific concerns and behaviours. Is it evident that key worker sessions and other support systems are in place to help the child / young person to understand the dangers and risks identified and how they can access help to change their behaviours? Outline any concerns you may have and any further management actions recommended?</i></p>
<ul style="list-style-type: none"> • Progress against plans 		<p><i>Please consider and comment on what progress the child /young person has made towards their plan aims and outcomes and how well their identified needs are being met in respect of health; education; identity, cultural and religious development; emotional and behavioural development; self-care skills and social presentation; contact with family and friends. Outline any concerns you may have and any further management actions recommended?</i></p>

Recommendations for action from this Visit:	Recommended time-scale for the provider to consider whether or not to take these actions:
1.	
2.	
3.	

4.	
5.	
6.	

Recommendations for action outstanding from the last / previous Visit/s:

I confirm that this is an accurate report of the findings of my visit and my recommended actions in response to any areas of weakness / shortfall identified.

Signature:

Name of Regulation 44 Visitor:

Date

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To be completed by the registered manager / provider:

I/ We have read the report and accept its findings as fair and accurate. I/ We have given due consideration to all recommendations and undertake to take the following actions.

| Recommendation No. | Action required | Target completion date | Responsible person |
|--------------------|-----------------|------------------------|--------------------|
| 1                  |                 |                        |                    |
| 2                  |                 |                        |                    |
| 3                  |                 |                        |                    |
| 4                  |                 |                        |                    |
| 5                  |                 |                        |                    |
| 6                  |                 |                        |                    |

Manager/ provider / responsible individual response to any recommendations which remain outstanding from previous visits if applicable:

| Recommendation No. | Action required | Target completion date | Responsible person |
|--------------------|-----------------|------------------------|--------------------|
| 1                  |                 |                        |                    |
| 2                  |                 |                        |                    |
| 3                  |                 |                        |                    |

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|   |  |  |  |
|---|--|--|--|
| 4 |  |  |  |
| 5 |  |  |  |
| 6 |  |  |  |

**Any additional provider comments:**

**Signature:**

**Name of Registered Manager:**

**Date**

**Signature**

**Name of Registered Provider / Responsible Individual:**

**Date:**